



CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

I hereby certify that this correspondence and attached documents in response to a Notice to File Missing Parts of Application, pursuant to 37 C.F.R. §1.8, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner of Patents and Trademarks, Washington D.C. 20231-9998, on:

Date: April 27, 2001

By: [Signature]  
Signature of person depositing mail

PATENT

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

*TRANSMITTAL OF MISSING PARTS (FILE DATE GRANTED)  
FOR PATENT APPLICATION PURSUANT TO 37 C.F.R. §1.53(b)*

Applicant(s):	Wong et al.	Docket No.:	36457.0200
Serial No.:	09/710,488	Filed:	November 10, 2000
TITLE:	METHOD AND SYSTEM FOR ENGINEERING AND BUSINESS ASSESSMENT AND SOLUTIONS	Group Art Unit:	2171
		Examiner:	TBA

BOX MISSING PARTS  
Assistant Commissioner of Patents  
Washington, D.C. 20231-9998

Dear Sir/Madam:

In response to the Notice to File Missing Parts of Application mailed on March 28, 2001 in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the subject patent application.

1. Small Entity Status

- ☐ Small entity status under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☒ Verified statement to establish small entity status under 37 C.F.R. §§1.9(f) and 1.27(d) is enclosed.

2. Fee Calculation

Filing Fee		710.00
	=	
Surcharge (\$130)		\$130.00

**Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)**

<input type="checkbox"/>	Missing parts filed within <b>first</b> month after due date - add	\$110.00 +	
<input type="checkbox"/>	Missing parts filed within <b>second</b> month after due date - add	\$ 380.00 +	N/A
<input type="checkbox"/>	Missing parts filed within <b>third</b> month after due date - add	\$ 900.00 +	N/A
<input type="checkbox"/>	Missing parts filed within <b>fourth</b> month after due date - add	\$1400.0 +	N/A
		0	
	<b>Subtotal</b>		\$840.00

If one of the "small entity" boxes above is checked, enter half (1/2) of the Subtotal and subtract. - \$420.00

**TOTAL FILING FEES** \$420.00

Add: Fee (\$40.00) for recording assignment and other fee(s): \$40.00

**TOTAL FEES ENCLOSED** \$460.00

**3. Enclosures (check as applicable)**

- ☒ Notice of Missing Parts (copy)
- ☒ Executed Declaration
- ☒ Verified Statement(s) of Small Entity Status under 37 C.F.R. §§1.9(f) and 1.27(c)
- ☒ Check for fees
- ☒ Assignment and required Recordation Form Cover Sheet
- ☐ Information Disclosure Statement pursuant to 37 C.F.R. §§1.97-1.99 (with PTO Form 1449 and copies of citations)
- ☐ Other:

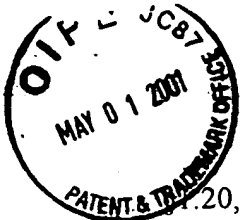
Extra copies of this letter:

- ☐ for charge to deposit account
- ☒ for Assignment

**4. Manner of Payment**

- ☐ Please charge Deposit Account No. 19-2814 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.
- ☒ Check No. 502531 in the amount of \$460.00 is attached.

**This statement does NOT authorize charge of the issue fee.** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (deficiency only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R.



**19-20, or credit any overpayment to Account No. 19-2814 for which purpose two duplicate copies of this sheet are attached.**

## 5. Assignment

[X] An Assignment of this application is enclosed. Please record the Assignment and return the recorded Assignment to the undersigned attorney.

## 6. Request for Filing Date Correction

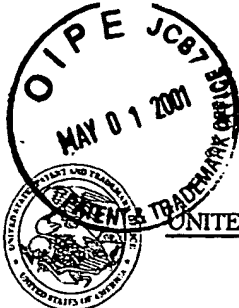
[ ] Applicant further requests that the filing date of this application be corrected to \_\_\_\_\_ . The application was filed by Express Mail pursuant to 37 C.F.R. §1.10 on such date, and is accordingly entitled to that filing date. A copy of the express mail receipt and the original transmittal letter bearing the certificate of express mailing are attached.

The Patent and Trademark Office is respectfully requested to send a filing receipt for this application to the undersigned attorney and forward the application for examination.

Respectfully submitted,

By R. Lee Fraley 4/27/01  
R. Lee Fraley  
Reg. No. 42,550

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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
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 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/710,488	11/10/2000	Robert P. Wong	36457.0200

R Lee Fraley  
 Snell & Wilmer LLP  
 One Arizona Center  
 400 East Van Buren  
 Phoenix, AZ 85004-2202

## FORMALITIES LETTER



\*OC000000005912398\*

Date Mailed: 03/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

 03/28/2001 SSESHE1 00000040 09710488  
 355.00 00  
 65.00 00  
 03/28/2001 09710488